

## **Application Data Sheet**

### **APPLICATION INFORMATION**

Application Number::

Filing Date:: March 19, 2004

Application Type:: Regular

Subject Matter:: Utility

Suggested classification::

Suggested Group Art Unit::

CD-ROM or CD-R?:: None

Number of CD Disks::

Number of Copies of CDs::

Sequence Submission?::

Computer Readable Form (CRF)?:: No

Number of Copies of CRF::

Title:: SYSTEM FOR MANAGING DATA  
REGARDING DERIVATIVES TRADES

Attorney Docket Number:: P1995B

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure::

Total Drawing Sheets:: 11

Small Entity?:: Yes

Latin Name::

Variety denomination name::

Petition Included?:: No

Petition Type::

Licensed US Govt. Agency::

Contract or Grant Numbers::

Secrecy Order in Parent Appl.?:: No

## **APPLICANT INFORMATION**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Richard  
Middle Name:: A.  
Family Name:: Baker  
City of Residence:: Evanston  
State or Prov. of Residence:: Illinois  
Country of Residence:: US  
Street of mailing address:: 1830 Ridge Avenue, Apt. 104  
City of mailing address:: Evanston  
State or Province of mailing address:: Illinois  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60201

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Brian  
Middle Name::  
Family Name:: Laird  
City of Residence:: Carpentersville  
State or Prov. of Residence:: Illinois  
Country of Residence:: US  
Street of mailing address:: 2921 Plantation Drive  
City of mailing address:: Carpentersville  
State or Province of mailing address:: Illinois  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60110

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Lance  
Middle Name::  
Family Name:: Klein  
City of Residence:: Naperville  
State or Prov. of Residence:: Illinois  
Country of Residence:: US  
Street of mailing address:: 2153 University Avenue  
City of mailing address:: Naperville  
State or Province of mailing address:: Illinois  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60565

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Matt  
Middle Name::  
Family Name:: Sweetnam  
City of Residence:: Planfield  
State or Prov. of Residence:: Illinois  
Country of Residence:: US  
Street of mailing address:: 734 Bartlett Avenue  
City of mailing address:: Planfield  
State or Province of mailing address:: Illinois  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60544

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Brent  
Middle Name::  
Family Name:: Billows  
City of Residence:: Chicago  
State or Prov. of Residence:: Illinois  
Country of Residence:: US  
Street of mailing address:: 1530 S. State Street  
City of mailing address:: Chicago  
State or Province of mailing address:: Illinois  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60605

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Steven  
Middle Name::  
Family Name:: Karlovitz  
City of Residence:: Glendale Heights  
State or Prov. of Residence:: Illinois  
Country of Residence:: US  
Street of mailing address:: 2026 Cypress Court  
City of mailing address:: Glendale Heights  
State or Province of mailing address:: Illinois  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60139

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: John  
Middle Name::  
Family Name:: Compall  
City of Residence:: Des Plaines  
State or Prov. of Residence:: Illinois  
Country of Residence:: US  
Street of mailing address:: 1733 Whitcomb Avenue  
City of mailing address:: Des Plaines  
State or Province of mailing address:: Illinois  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60018

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Diane  
Middle Name::  
Family Name:: Schuering  
City of Residence:: Chicago  
State or Prov. of Residence:: Illinois  
Country of Residence:: US  
Street of mailing address:: 505 N. Lake Shore Drive, Apt. 3206  
City of mailing address:: Chicago  
State or Province of mailing address:: Illinois  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60611

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: US  
Status:: Full Capacity  
Given Name:: Marc  
Middle Name::  
Family Name:: MacQuarrie  
City of Residence:: Chicago  
State or Prov. of Residence:: Illinois  
Country of Residence:: US  
Street of mailing address:: 5915 N. Washtenaw Avenue  
City of mailing address:: Chicago  
State or Province of mailing address:: Illinois  
Country of mailing address:: US  
Postal or Zip Code of mailing address:: 60659

#### **CORRESPONDENCE INFORMATION**

Correspondence Customer Number:: 08968  
Phone: 312-569-1000  
Fax: 312-569-3000  
E-mail Address: ipdocket@gcd.com

#### **REPRESENTATIVE INFORMATION**

Representative Customer Number: 08968

Representative Designation: Registration Number: Representative Name:

## **DOMESTIC PRIORITY INFORMATION**

Application:	Continuity Type:	Parent Application:	Parent Filing Date:
This Application	Claims the benefit under 35 USC 119(e)	60/457,233	25 March 2003

## **FOREIGN APPLICATION INFORMATION**

Country:	Application Number:	Filing Date:	Priority Claimed
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## **ASSIGNEE INFORMATION**

Assignee name::	The Clearing Corporation
Street of mailing address::	141 W. Jackson Boulevard, Suite 1460
City of mailing address::	Chicago
State or Province of mailing address::	Illinois
Country of mailing address::	US
Postal or Zip Code of mailing address::	60604

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